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# Neogene lake systems of Central and South-Eastern Europe: Faunal diversity, gradients and interrelations

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## Abstract

The gastropod  $\gamma$ -diversity of 12 Neogene lake systems is evaluated. In total, 1184 gastropod taxa from 119 localities are recorded deriving from the Early Miocene *Rzehakia* Lake System, the Early to Middle Miocene Dinarid Lake System, Lake Skopje, the Paratethyan Sarmatian lakes and the South German lakes, the Late Miocene Lake Pannon, the Pliocene lakes Dacia, Transylvania, Slavonia, Kosovo and Šoštanj as well as the Holocene Lake Petea. Each lake system is characterised according to its faunistic inventory and endemism. According to their gastropod faunas the lakes may be divided into pyrgulid-, hydrobiid-, viviparid- and planorbid-dominated ones. The generally high endemism rate is between 60 and 98%. Species diversity and generic diversity are strongly correlated. In contrast, neither endemism nor lake size are tightly linked with  $\gamma$ -diversity. Outstandingly high diversities such as observed for Lake Pannon are rather a result of the combined effect of autochthonous evolution in a long-lived system and accumulation of inherited elements. Examples of parallel evolution in lymnaeids and planorbids are presented.

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**Keywords:** Gastropods; Freshwater molluscs; Endemism; Ancient lakes; Evolution

## 1. Introduction

The Central and South-Eastern European freshwater and brackish systems of the Neogene are often characterised by outstanding endemisms. Despite the enormous amount of systematic papers dealing with local faunas, the relations between these lake systems in space and time are still unexplored. The most important biogeographic entities are the Early Miocene *Rzehakia* Lake System, the Early to Middle Miocene Dinarid Lake System, Lake Skopje, the Paratethyan Sarmatian lakes and the South German lakes, the Late Miocene Lake Pannon, the Pliocene lakes Dacia, Transylvania, Slavonia and Kosovo, as well as the Pleistocene and Holocene lakes Šoštanj and Petea (Fig. 1). The main obstacle for taxonomists working with the Neogene lake faunas of Central and South-Eastern Europe is the complex paleogeographic situation. Some areas have been repeatedly covered by different lake systems and therefore a

single literature-based locality name might represent completely different faunas. Moreover, several classical monographs intermingled faunas from several lake systems and very different stratigraphic levels (e.g. Neumayr 1869, 1880; Brusina 1897, 1902a). Especially, the separation of Lake Pannon faunas from those of the older Dinarid Lake System was completely obscure for most taxonomists (e.g. Nuttall, 1990). Molluscs of some ancient Balkan lakes such as the Early Miocene Lake Sumadija or the early Middle Miocene Lake Serbia (Krstić et al., 2001, 2003, 2007) are still insufficiently documented and therefore excluded from present analysis.

## 2. Methods and limitations

The dataset is based on a compilation of published gastropod faunas from Central and South-Eastern European Neogene lake systems. The results of more than 120 systematic papers have been integrated; details and references are given below in section 4. In addition, material from the collection of the Natural History Museum Vienna (NHM) has been included. The full

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dataset with detailed locality information is available online: <http://www.nhm-wien.ac.at/Content.Node/forschung/geologie/mitarbeiter/pdfs/Harzhauser-Mandic-freshwater.xls>. The recorded species-level taxa have been systematically arranged and listed according to localities. In a next step, localities have been grouped into geographic and stratigraphic units. Hierarchical cluster analysis and non-metric multidimensional scaling (nMDS) were performed using the statistic software packages PAST (Hammer et al., 2001) and PRIMER (Clarke and Warwick, 1994). The groupings achieved by means of hierarchical cluster analysis were tested for robustness by using different available algorithms and were additionally compared to results from nMDS. The similarity measures providing the best interpretable groupings were the Euclidean distance (between rows) for the percentage contribution data and the Simpson index and Bray–Curtis measure for the presence/absence data.

Generally, we follow the systematic groupings of Wenz (1923–1930, 1938–1944), Falkner et al. (2001), Bank et al. (2001) and Harzhauser et al. (2002). Nevertheless, the herein presented affiliation of several genera (e.g. *Staja Brusina*, 1897, *Bania Brusina*, 1896, *Gyromelania* Wenz, 1939, *Scalimelania* Wenz, 1939) to higher taxa is problematic and may change after a modern revision. Moreover, it has to be kept in mind that the number of described species in the literature is too high for many genera as modern revisions are missing for most groups. A taxonomic revision, however, is beyond the scope of this study. Despite these limitations and “taxonomic noise”, we think that this dataset is a serious first approximation.

### 3. The lakes: geography, geological settings and stratigraphic framework

#### 3.1. Rzehakia Lake System (RLS, ~17.5–17.2 Ma; S. Germany, Austria, Moravia)

The oldest lake system treated herein is the Early Miocene Rzehakia Lake System (Fig. 2). Its name is derived from the endemic bivalve genus *Rzehakia* (Korobkov, 1954). The geographic extension of the RLS reaches from Bavaria to Moravia, covering an area about 650 km long (W–E) and 150 km wide (N–S) (Senes, 1973). It had formed along the northern shoreline of the Paratethys Sea in the North-Alpine Foreland Basin and, in its Moravian prolongation, in the Carpathian Foredeep. The lakes developed during the Early Miocene (mid-Burdigalian) and reflect the sea-level lowstand TB 2.1 of Haq et al. (1988) (Rögl, 1998). This event caused the Central Paratethys Sea to disintegrate into several basins and allowed the development of strongly structured coastal plains with extensive brackish-water lakes. Little is known about the geochemistry of these lakes. Traditionally, they are considered as marine-derived brackish lakes (Rögl, 1998; Popov et al.,

2004). This assumption is supported by the occurrence of endemic cardiids such as *Limnopageta* (Schlickum, 1963) and *Limnopappia* (Schlickum, 1962) and taxa such as *Siliqua* (Megerle von Mühlfeld, 1811), which all have marine ancestors. The origin of the RLS fauna is partly rooted in the Eastern Paratethys (Popov et al., 1993; Rögl, 1998; 1999). Several endemic genera apparently originated in the faunas of the Kozakhurian Stage (e.g. *Limnopageta*) and subsequently settled the western and central Paratethyan shores.

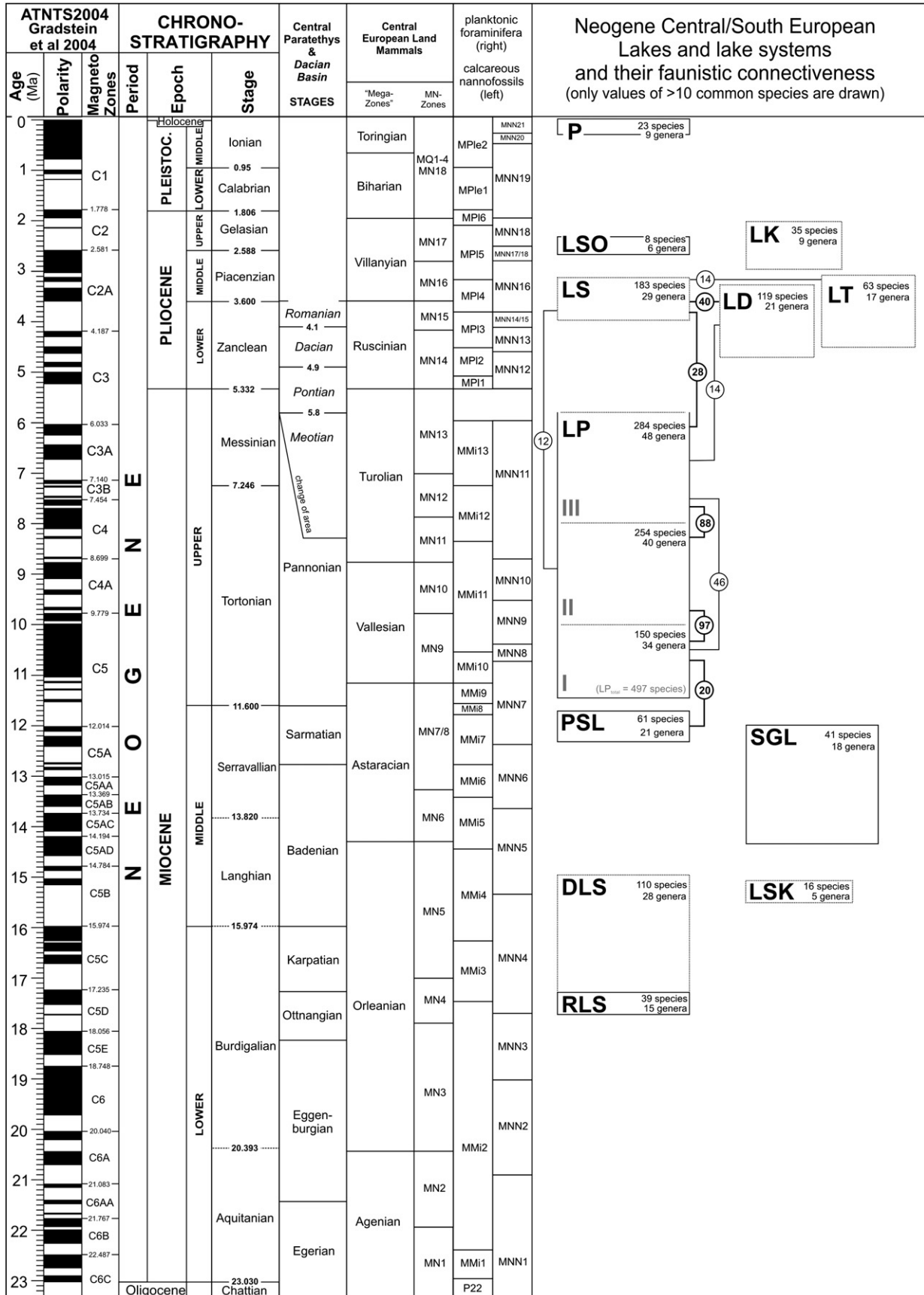
Based on considerable differences of the mollusc faunas of the western (Bavarian) and eastern (Austrian–Moravian) part, Harzhauser and Mandic (2008) and Mandic and Corić (2007) proposed the existence of at least two disconnected lakes. A further separation into smaller lakes in the western part of the RLS is indicated by slight faunistic differences between the Lower Bavarian Oncophora Basin and the Upper Bavarian Kirchberg Basin (Kowalke and Reichenbacher, 2005). The paleobiogeographic relations within the RLS are based on the high degree of endemics on the generic level. All lakes of that system have in common taxa such as the bivalves *Rzehakia*, *Limnopageta*, and *Limnopappia* and the gastropod *Ctyroikia* (Schlickum, 1965). On the species-level, however, hardly any faunistic relation is represented aside from the ubiquitous *Melanopsis impressa* (Krauss, 1852) along with the theodoxid *Theodoxus cyrtocelis* (Krauss, 1852) and the planorbid *Gyraulus applantus* (Thomae, 1845). The absence of RLS bivalves in the probably partly synchronous Dinaride Lake System suggests a distinct paleobiogeographic boundary between these lake systems.

#### 3.2. Dinarid Lake System (DLS, ~17–15 Ma; Croatia, Bosnia and Herzegovina, Montenegro, Serbia, Hungary and Slovenia)

The Dinarid Lake System (DLS) formed during the late Oligocene and Miocene in today NW–SE trending intramountain basins parallel to the slowly rising Dinarid mountain chains (Pavelić, 2001). Extensional tectonics generated enhanced subsidence of elongated depressions during the Early to Late Miocene. The comparatively low terrigenous input supported the diversification of lacustrine environments, including both deep- and shallow-water habitats. This habitat diversification sparked the spectacular Miocene radiation of the benthic fauna. Geographically, the deposits of the DLS cover parts of Croatia, Bosnia and Herzegovina, Montenegro, Serbia, Hungary and Slovenia (Krstić et al., 2001, 2003). During its maximum extent, the lake system covered an area of c. 75,000 km<sup>2</sup>. Subsequent rifting in the Pannonian Basin System triggered the marine flooding of the northern DLS and considerably reduced it.

The stratigraphic correlation and paleogeographic extension of that shrunken DLS, termed Lake Herzegovina by Vujanović et al. (2000), are still under discussion. The younger deposits, however, lack DLS endemics such as *Clivunella* Katzer, 1918

Fig. 1. Geographic setting of the investigated lake systems. 1: Rzehakia Lake System (RLS, ~17.5–17.2 Ma), 2: Dinarid Lake System (DLS, ~17–15 Ma), 3: Lake Skopje (LSK, ~15 Ma), 4: Paratethyan Sarmatian Lakes (PSL, 12.4–11.8 Ma), 5: South German lakes (incl. Lake Steinheim) (SGL, 14.3–~12 Ma), 6: Lake Pannon (LP, 11.6–5.8 Ma) 7: Lake Slavonia (LS, ~4–3 Ma), 8: Lake Transylvania (LT, ~4.5–3 Ma), 9: Lake Dacia (LD, ~5–3 Ma), 10: Lake Kosovo (LK, ~3–2 Ma), 11: Lake Šoštanj (LSO, 2.5 Ma), 12: Lake Petea (P, 0.1–0 Ma). The outlines of the Early and Middle Miocene lakes reflect only the modern sediment distribution, whilst the outlines of the Late Miocene and Pliocene lakes roughly coincide with their former extent.



and *Delmiella* Kochansky-Devidé and Slišković, 1972 (Kochansky-Devidé and Slišković, 1978, 1980). A typical fauna of the late DLS is recorded from the Sinj Basin in south-eastern Croatia. It represents the best investigated record of the DLS and yields an extraordinarily high species diversity (e.g. Neumayr, 1869; Brusina, 1874, 1897, 1902a).

### 3.3. Lake Skopje (LSK, ~15 Ma; Macedonia)

The data on that lake are extremely poor. The investigated mollusc fauna was first described more than a century ago (Burgerstein, 1877; Pavlovic, 1903) and is known so far only from Skopje. Following the current paleogeographic reconstructions, those deposits could represent the southern part of the Serbian Lake of Krstić et al. (2001, 2003, 2007) dated as early Middle Miocene (c. 16–14 Ma). The Serbian Lake extended via a 200 km wide, NNW–SSE striking depression between the Dinaride and Carpathian orogenes from Belgrade (N Serbia) to Serres (N Greece). Its molluscs, including *Kosovia* (Pavlovic, 1903), are insufficiently documented — mainly in unpublished reports and theses. Apparently, based on a synopsis by Krstić et al. (2007), they differ not only from synchronous DLS faunas but also from those of Lake Skopje. Consequently, Lake Skopje is treated herein as an independent paleogeographic unit.

### 3.4. Paratethyan Sarmatian Lakes (PSL, 12.4–11.8 Ma; Romania, Austria, Hungary)

During the late Middle Miocene, the isolated Paratethys Sea developed a conspicuous endemic marine mollusc fauna (Harzhauser and Piller, 2007). The coastal flats of this sea were fringed by several freshwater systems. The paleogeography of these coastal lakes is unclear and our knowledge on the fauna might be incomplete. Only few localities yield rich assemblages, whereas most other occurrences are rather out-of-habitat findings in marine deposits. Important Sarmatian wetland faunas are known from Soceni in Romania (Jekelius, 1944) and the Austrian Eisenstadt-Sopron Basin (Harzhauser and Kowalke 2002). Răcăștie (formerly Rákosd) in the Deva region in Romania is another important Sarmatian locality described by Gaál (1911) and Szalai (1928). In addition, Szalai (1928) and Boda (1959) described species from various Hungarian localities in the Bakony region and the Bükk Mountains.

### 3.5. South German lakes (incl. Lake Steinheim) (SGL, 14.3 to ~12 Ma; Germany)

During the late Middle Miocene several freshwater systems developed in southern Germany in the area between Munich, Nürnberg and Stuttgart. The most important of these are the Steinheim Lake in the Swabian Alb and the Ries Lake at the

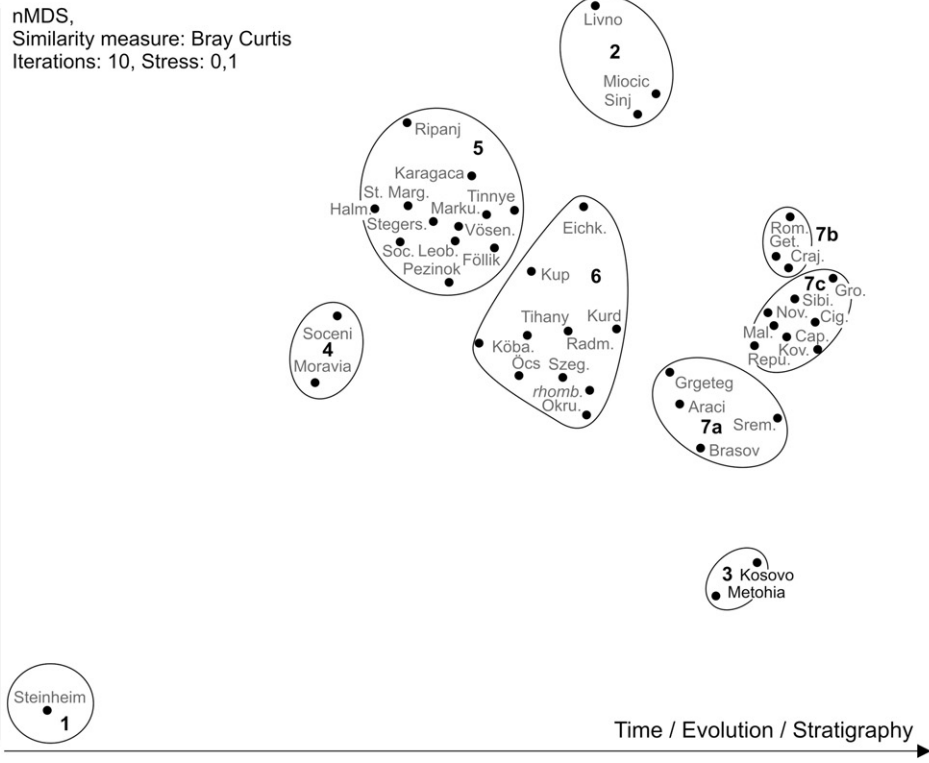
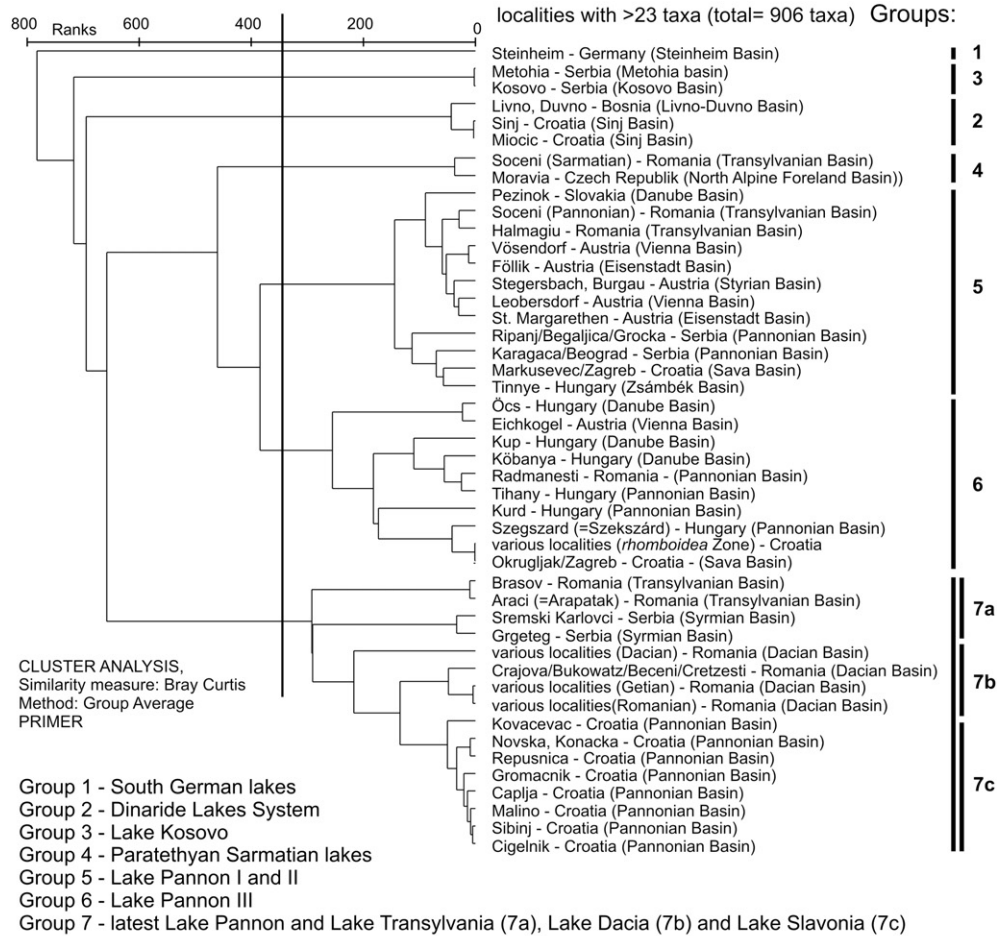
border between the Swabian and Franconian Alb. Both formed by a simultaneous meteorite impact during the middle Miocene (~14.3 Ma; Tütken et al., 2006). The crater basins became filled by freshwater, and long-lived lakes became established. The smaller Steinheim Lake had a diameter of c. 3.5 km, whilst the Nördlinger Ries impact structure was c. 25 km in diameter. Aside from endemics, the mollusc fauna is closely related to the assemblages of the coeval wetland faunas of the so-called Silvana Beds in the adjacent North-Alpine Foreland Basin. Representative localities are Hohenemmingen (26 km SSE of Nördlingen) and Zwiefaltendorf (70 km SE of Steinheim). Little is known about the paleogeography and paleolimnology of the associated small lakes. Especially at Zwiefaltendorf, the mollusc fauna was described from reworked lithoclasts outcropping in Pleistocene deposits (Schlickum, 1976). Despite the earlier impact age of 14.3 Ma, most of the assemblages are correlated with the mammal zone MN 7 (Tütken et al., 2006), pointing to an age between c. 13.5–12 Ma.

### 3.6. Lake Pannon (LP, 11.6–5.8 Ma; Austria, Czech Republic, Slovakia, Hungary, Romania, Croatia, Slovenia, Bosnia, Serbia)

At about 11.6 Ma a glacioeustatic sea-level drop caused the final disintegration of the Paratethys Sea, and Lake Pannon arose in the Pannonian basin system (Magyar et al., 1999; Harzhauser et al., 2004). The benthic ecosystem collapsed at that point and marine life completely vanished. The lake was initially brackish, slowly freshening and slightly alkaline (Harzhauser et al., 2007). A very detailed paleogeographic development throughout the late Miocene is provided by Magyar et al. (1999). Accordingly, Lake Pannon attained a maximum length of 860 km (from the Karlovac Basin close to Zagreb in the west to the Transylvanian Basin in Romania in the east) and a width of 550 km (from the Vienna Basin in the north to Belgrade in the south). It covered an area of c. 290,000 km<sup>2</sup> and is the largest aquatic system considered in this study. The lake was highly structured by numerous islands and mountain ranges. Its maximum water depth may have reached 800 m in its central part but less than 200 m elsewhere (Magyar et al., 1999).

At around 9 Ma the lake began to shrink. Its north-western part turned into fluvial plains and, in the east, the Transylvanian Basin became dry land, reducing the area to c. 180,000 km<sup>2</sup>. Finally, in the latest Miocene, a comparably small lake of c. 480 km width remained, covering only the southern basins of the Pannonian basin system. Herein, the succession is separated into 3 time slices: LP, Phase I: 11.6–10.0 Ma, Phase II: 10.0–8.0 Ma, Phase III: 8.0–5.8 Ma. These units roughly represent the build-up phase of Lake Pannon (Lower Pannonian), its maximum extent (Middle Pannonian, *C. subglobosae* Zone) and its gradual retreat (Upper Pannonian = “Pontian” sensu Stevanović, 1990a,b,c).

Fig. 2. Chronostratigraphic and biostratigraphic correlation scheme for the Mediterranean and Paratethyan areas (after Gradstein et al., 2004 and Piller et al., 2007). The stratigraphic range and the number of documented species and genera of each lake system are indicated in the right column (see text for abbreviations). Numbers in circles represent species that occur in two lakes.



## 248 3.7. Lake Dacia (LD, ~5–3 Ma; Romania, Bulgaria)

249 Lake Dacia formed in Pliocene times in place of the former  
250 Eastern Paratethys Sea. It filled the name-giving Dacian Basin  
251 and had a W–E extension of roughly 500 km and less than  
252 200 km width, covering an area of c. 78,000 km<sup>2</sup>. It was  
253 delimited in the north and west by the Carpathian Mountains  
254 and by the Balkanids and the Moesian platform in the south and  
255 extended into the area of the modern Black Sea. The age of the  
256 herein considered deposits is Dacian to Romanian (Snel et al.,  
257 2006).

## 258 3.8. Lake Transylvania (LT, ~4.5–3 Ma; Romania)

259 This elongate U-shaped lake was situated on the SE-  
260 Carpathians and covered the Brasov Basin complex, the Ciuc  
261 Basin and the Gheorgheni Graben (see Fielitz and Seghedi, 2005  
262 for tectonic setting). It had a length of about 180 km (N–S) and  
263 was rather narrow, attaining a maximum width of 20–30 km. This  
264 only c. 4500 km<sup>2</sup> large lake was not a relic of Lake Pannon, but  
265 formed independently during the late Dacian and Romanian  
266 (László, 2005) within the Carpathian nappe system.

267 3.9. Lake Slavonia (LS, ~4–3 Ma; Croatia, Bosnia, Serbia,  
268 Romania)

269 This lake is also known as *Paludina Lake*, referring to  
270 the conspicuous diversity and endemic evolution of viviparid  
271 gastropods. It's a small Pliocene lake about 290 km long and  
272 120 km wide, covering the southernmost basins of the  
273 Pannonian basin system over about 28,000 km<sup>2</sup>. Its geographic  
274 extension is similar to that of the latest phase of Lake Pannon.  
275 Therefore, it is discussed by some authors as being a direct  
276 descendent of lake Pannon (e.g. Magyar et al., 1999). This  
277 relation remains somewhat questionable because reliable geo-  
278 logical data and modern datings are missing.

## 279 3.10. Lake Kosovo (LK, ~3–2 Ma; Serbia, Kosovo)

280 Lake Kosovo was a roughly circular lake of about 50 km  
281 diameter covering an area of c. 8000 km<sup>2</sup>. Its deposits are  
282 restricted to the larger Metohia Basin in the west and the  
283 elongated Kosovo Basin in the east (Atanacković, 1990). The  
284 stratigraphy of the basin is described by Milosević (1966).  
285 Accordingly, mollusc faunas occur in the Kosovo Basin in  
286 Middle Miocene, Upper Miocene and Pliocene deposits. The  
287 oldest fauna is part of Lake Serbia and is not considered herein.  
288 The Miocene and Pliocene faunas have been revised by Krstić

et al. (2001), who treat Lake Kosovo as part of the Macedonian- 289  
Drim System (Marović et al., 1999). The latter lake system 290  
covered southern Serbia, Macedonia, southern Bulgaria and 291  
central Greece during the Late Pliocene. Its endemic mollusc 292  
fauna of Akchagylian age, however, is known so far only from 293  
the Metohia and Kosovo Basins (Atanacković, 1985; Krstić 294  
et al., 2001). 295

## 3.11. Lake Šoštanj (LSO, 2.5 Ma; Slovenia) 296

The investigated fauna derives from Pliocene lignite-bearing 297  
lacustrine deposits at Šoštanj near Velenje in Slovenia. 298  
Geologically, these deposits are part of the Velenje Basin and 299  
are dated as Villafranchian (Brezigar et al., 1985). Three small 300  
lakes are still present in the depression along a length of c. 4 km; 301  
the extent of the Pliocene lake is unknown. The locality is also 302  
referred to as Schönstein in the old literature (Rolle, 1860, 303  
1861). 304

## 3.12. Lake Petea (P, 0.1–0 Ma; Romania) 305

Lake Petea, situated about 9 km SE of Oradea in W. Romania, 306  
is the only still existing lake in the herein utilised dataset. It is a 307  
very small (>1 km<sup>2</sup>) thermal-spring-fed freshwater rivulet and 308  
lake with constant water temperature of c. 30 °C. The area is now 309  
protected because of the occurrence of the endemic water lily 310  
*Nymphaea lotus thermalis* (de Candolle, 1821), the rudd *Scardi- 311*  
*nus erithrophthalmus racovitza* (Müller, 1958) and the gastropod 312  
*Melanopsis parreyssi* (Pauca, 1933). Lake Petea is a very young, 313  
mainly Holocene, aquatic system which did not originate before 314  
Pleistocene times (pers. com. Marton Venczel). Due to the 315  
complex history of the region, the locality is referred to in the 316  
literature also as Bischofsbad (German) and as Püspökfürdő 317  
(Hungarian). It is included herein because of its diversity of 318  
melanopsids and its Mio-Pliocene “flair”, whilst other Pleistocene 319  
and Holocene lakes are excluded. 320

## 4. Results 321

In total, 1184 gastropod species and (chrono- or morpho-) 322  
subspecies from 119 localities have been compiled from 323  
the extensive literature on Miocene to Pleistocene Central and 324  
South European lakes and lake systems. This surprisingly high 325  
diversity is even comparable with marine gastropod diversity 326  
for the same geographic area during the Miocene (c. 1300 taxa 327  
in Harzhauser and Piller, 2007). In a first step a cluster analysis 328  
was performed to group localities in evolutionary entities. The 329  
number of taxa in single localities differed strongly, ranging 330

Fig. 3. Plots of cluster analysis using Bray–Curtis similarity measure and Group Average method and non-metric Multidimensional Scaling (MDS) of Miocene to Pliocene lake-fauna bearing localities with more than 23 taxa. The cluster analysis demonstrates the presence of 7 taxonomically constrained paleogeographic, paleobiogeographic and stratigraphic units (Groups 1 to 7). The peri-Paratethys units (Groups 1 to 3) are strictly divided from units of the Central Paratethys/Lake Pannon region, forming a coherent but internally hierarchically ordered group. The hierarchical ordering of localities of that latter group follows a stratigraphic pattern, which is also evident in the non-metric MDS plot. The hierarchical/stratigraphical ordinance starts with the Middle Miocene Sarmatian Lakes (Group 4), goes through early Late Miocene (Group 5) and late Late Miocene (Group 6) Lake Pannon up to the latest Miocene and Pliocene residual lakes (Group 7). The subgroups within Group 7 reflect paleogeographic units. The full dataset with all localities is available online: <http://www.nhm-wien.ac.at/Content.Node/forschung/geologie/mitarbeiter/pdfs/Harzhauser-Mandic-freshwater.xls>.

from one to maximally 97 recorded species. Prior to analysis the dataset was therefore filtered to records containing sufficient taxa for a reasonable comparison. The best results were achieved from the species diversity of 24 upwards and using the Bray Curtis Similarity measure. The resulting grouping was used as test for a priori assumptions about paleogeographic units.

The ordering of localities coincided with their paleogeographic and stratigraphic patterns. Thus, seven paleobiogeographic units were clearly distinguished (Fig. 3): the Dinaride Lake System, the Paratethyan Sarmatian Lakes, the South German Lakes, Lake Kosovo, Lake Pannon I & II and Lake Pannon III, and a cluster representing the faunas of the latest Lake Pannon, Lake Slavonia, Lake Dacia and Lake Transylvania. Within the area of the former Paratethys Sea, the hierarchical ordering showed a clear stratigraphic pattern, with the oldest lake systems at the base and the Pliocene lakes at the top of the line-up. The peri-Paratethys systems (Dinaride Lake System, South German Lakes and Lake Kosovo), although principally following a similar stratigraphic pattern, ordered strictly separately from the Central Paratethys line-up, underlining their autochthonous evolutionary and paleoecological status.

#### 4.1. Faunistic inventories and sources

The groupings in Fig. 3 represent ancient lake systems with fairly consistent faunas. In the next step the faunas were merged for each lake to provide a synopsis of the faunistic composition of each lake (Fig. 4). This dataset allows estimation of the  $\gamma$ -diversities (sensu Whittaker, 1972) and outlines the dominant taxa. There are, however, clear limitations to this approach. Especially the phenotypic plasticity of several freshwater gastropod species (e.g. within *Melanopsis* Férussac, 1823) is difficult to handle and might result in over-splitting in some genera (Geary 1990). Hybridisation effects as discussed by Geary (1992) and Bandel (2000) for Lake Pannon melanopsids will also increase the inventory. As this phenomenon cannot be solved based solely on conchological data, we maintain several of these critical morpho-species. Another drawback is the time-averaging that is inevitable in such datasets. Therefore, at least for the extremely long-lived Lake Pannon, we tried to separate the faunas into 3 time slices. Taxonomic remarks: Pyrgulidae are maintained as a family although molecular data hint at a subfamily level (Szarowska et al., 2005). The thiarid *Tinnyea* (Hantken, 1887) is counted to the Melanopsidae.

##### 4.1.1. Rzehakia Lake System (RLS, ~17.5–17.2 Ma)

In total, 39 gastropod species attributed to 15 genera (species/genera ratio=2.6) have been described from the RLS in the papers of Rzehak (1893), Schlickum (1963, 1964a,b, 1966, 1967), Ctyroky (1972), Steininger (1973) and Kowalke and Reichenbacher (2005). The fauna is characterised by its small size, the individuals usually being less than 10 mm in height. Few exceptions, such as *Viviparus suevicus* (Wenz, 1919) or *Melanopsis impressa* (Krauss, 1852), exceed this limit. Similarly, the dreissenids are small-sized with ranges from 10–20 mm

(Harzhauser and Mandic, 2008). The highest percentage of 56% is contributed by Hydrobiidae (22 species). These are represented by *Nematurerella* (Sandberger, 1874) (9), *Staliopsis* (Rzehak, 1893) (6) and *Hydrobia* (Hartmann, 1821) (2) and the endemic genus *Ctyrokyia* (Schlickum, 1965) (5). All other families are represented by 5 or less species: Planorbidae (5), Neritidae (4), Melanopsidae (3), Viviparidae (2), Lymnaeidae (2), Bithyniidae (1). The most striking feature of the RLS gastropod fauna is the diversity of the hydrobiids *Ctyrokyia* and *Staliopsis*. The endemicity on the species-level is high (77%).

##### 4.1.2. Dinarid Lake System (DLS, ~17–15 Ma)

The entire gastropod fauna of the DLS is composed of 110 species and 28 genera (species/genera ratio=3.9). The literature is manifold, partly hard to get and a synopsis is completely missing up to now. The most important papers are: Brusina (1870, 1874, 1878, 1884b, 1881, 1896, 1897, 1902a), Neumayr (1869, 1880), Kittl (1895), Kochansky-Devidé and Slišković (1972), Jurišić-Polšak (1979), Jurišić-Polšak and Slišković (1988) and Olujić (1999).

Most of the species are small-sized (<1 cm); larger shells (1–3 cm) are confined to few species belonging to the genus *Melanopsis* and to the thiarid *Tinnyea* (up to 7 cm). Hydrobiidae (40) and Melanopsidae (34) are the dominant families, followed by the Planorbidae (13), Stenothyridae (6), Pyrgulidae (6), Neritidae (5), Lymnaeidae (3), Viviparidae (1), Bithyniidae (1) and Valvatidae (1) are subordinate as taxa but may be important constituents concerning individual numbers. The most eye-catching radiations are represented by the genera *Melanopsis* (29), *Prososthenia* (Neumayr, 1869) (18), and *Fossarulus* (Neumayr, 1869) (14), which develop extraordinary numbers of species. All other genera are recorded only with 5 to 1 species. Endemic DLS genera are the stenothyrid *Bania* (Brusina, 1896), the pyrgulid *Marticia* (Brusina, 1897) and the derived clivunellids *Clivunella* and *Delminiella*, which are endemic even on the family level. *Fossarulus* and *Dianella?* Gude, 1913, although recorded as rare elements from other Miocene lake systems as well, display a unique diversity in the DLS. The endemicity level is extremely high (98%).

##### 4.1.3. Lake Skopje (LSK, ~15 Ma)

The gastropod fauna of Lake Skopje has been described in only few papers (Burgerstein, 1877; Pavlovic, 1903). Sixteen small-sized species from 5 genera are known (species/genera ratio=3.2). These represent an unusual diversity of Pyrgulidae (7 species of *Dianella*) accompanied by Melanopsidae (3), Hydrobiidae (3), Neritidae (2) and Stenothyridae (1). There is no endemism on the genus level but a complete endemism on the species-level. The fauna is small-sized, ranging between 2 and 15 mm.

##### 4.1.4. Paratethyan Sarmatian Lakes (PSL, 12.4–11.8 Ma)

The Sarmatian wetland systems were inhabited by 61 gastropod species attributed to 21 genera (species/genera ratio=2.9) (Hörnes, 1856; Stoliczka, 1862; Jekelius, 1944; Boda, 1959; Harzhauser and Kowalke, 2002). The dominant families are the Hydrobiidae (15 species) and the Pyrgulidae (12) followed by the Neritidae (9), Valvatidae (8) and Stenothyridae (7). The rest is contributed by



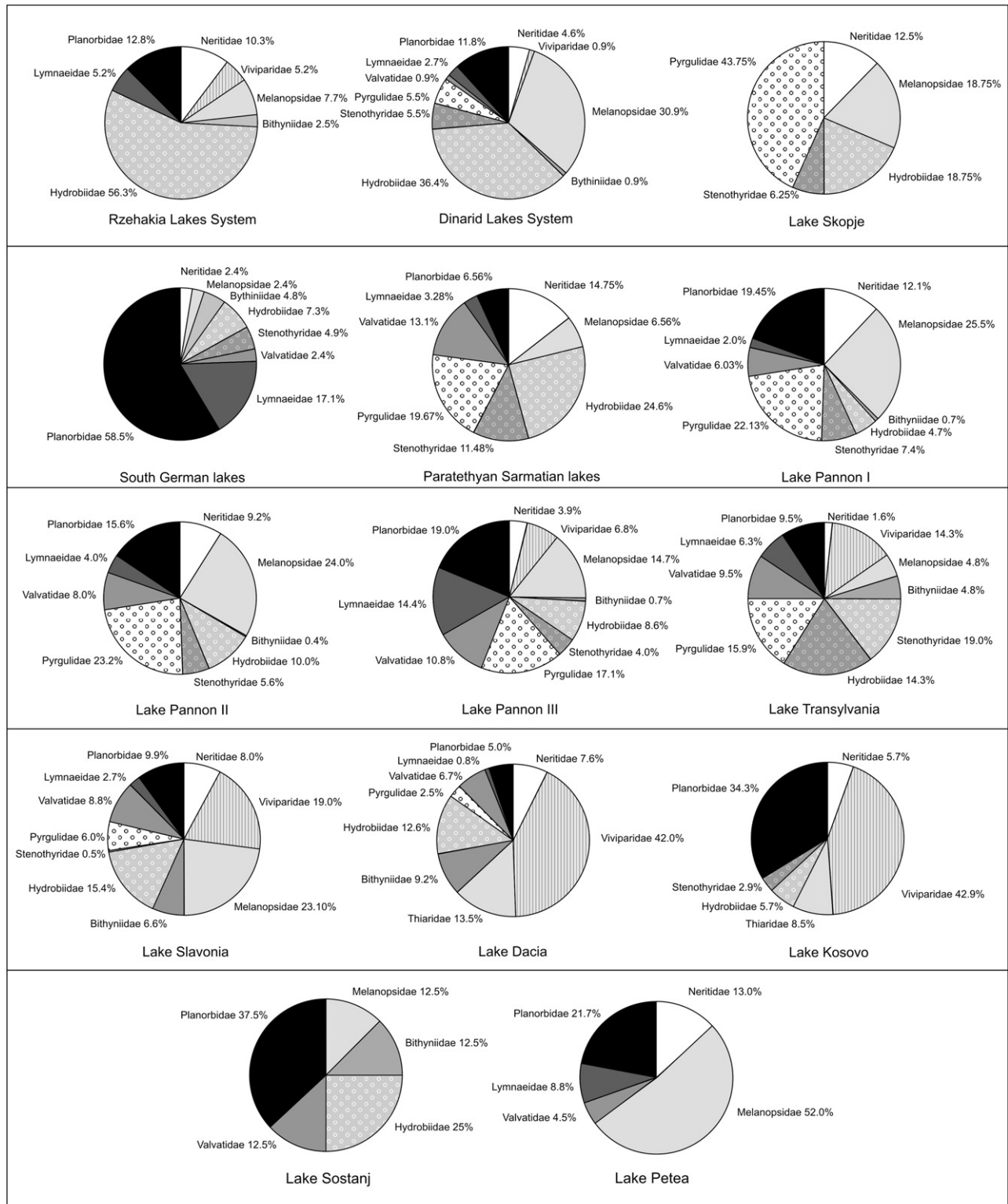


Fig. 4. Family-level analysis of the gastropod faunas (note that Pyrgulidae are treated as a family and that the thiarid *Tinnyea* is counted to the Melanopsidae). Percentages are based on species numbers and do not reflect dominance of single species in individual numbers. The corresponding species numbers are given in the text.

439 Melanopsidae (4), Planorbidae (4) and Lymnaeidae (2). Endemics  
 440 on the genus level mainly involve stenothyrids (*Aluta* Jekelius,  
 441 1932, *Staja* Brusina, 1897) and the pyrgulids (*Socenia* Jekelius,

1944, *Baglivia* Brusina, 1892). All these genera persist into the Late  
 Miocene and are constituents of the fauna of Lake Pannon. This  
 faunistic relation to Lake Pannon is also present on the species-level

and lowers the endemism to 62%. The size of most gastropod species is rather small, ranging between 2 and 6 mm. Only the melanopsids and lymnaeids exceed the 2 cm limit.

Within the investigated time-interval, the circum-Paratethyan lakes gave rise to the first peaks of diversity within the valvatids and neritids of the European faunas.

#### 4.1.5. South German lakes (incl. Lake Steinheim) (SGL, 14.3 to ~12 Ma)

The various small Middle Miocene lakes in southern Germany, including the famous Steinheim Lake, yield about 41 gastropod species of 18 genera (species/genera ratio=2.3) (Klein, 1846, Gottschick, 1911, 1853, 1920; Gottschick and Wenz, 1916; Schlickum, 1976; Nützel and Bandel, 1993; Finger, 1997 and references therein).

The Steinheim Lake experienced an outstanding endemic evolution of small-sized planorbids (Hilgendorf, 1867; Nützel and Bandel, 1993). Thus, the diversity is completely dominated by the Planorbidae, which are recorded with at least 24 species. Of these, at least 17 species belong to *Gyraulus* (Charpentier, 1837). Lymnaeidae, with 7 species, are the second most species-rich group, whilst the Neritidae, Melanopsidae, Bithyniidae, Stenothyridae, Hydrobiidae and Valvatidae are represented by 1–3 species only. No endemism on the generic level has been observed so far for these lakes; a considerable endemism of c. 75% is represented by the species of the stenothyrids, hydrobiids and even more so within the planorbids. At least 10 species are also recorded from other freshwater systems such as the RLS and the PSL. Aside from *Tinnyea* and few lymnaeids, the fauna is small-sized (<5 mm).

#### 4.1.6. Lake Pannon (LP, 11.6–5.8 Ma)

Aside from numerous monographs treating regional Lake Pannon assemblages, only Müller et al. (1999) provided a family-level synopsis of the mollusc fauna. The long history of Lake Pannon, spanning more than 6 Ma, and the large geographic extent of its deposits, is reflected in an enormous bibliography. In total, at least 497 species- and subspecies-level gastropods have been described from Lake Pannon. Only few of these species existed throughout the history of the lake. Therefore, the faunas of the various localities are united into three stratigraphic groups:

*LP, Phase I: 11.6–10.0 Ma:* 150 gastropod species of 34 genera are described from this early phase of Lake Pannon (species/genera ratio=4.4) (Brusina; 1884a, 1896, 1897, 1902a; Lörenthey, 1894; Halaváts, 1903; Jekelius, 1944; Papp, 1951, 1953; 1985b, Sauerzopf, 1953).

The early gastropod fauna of Lake Pannon is dominated by Melanopsidae (38), Pyrgulidae (33) and Planorbidae (29). Neritidae (18), Stenothyridae (11), Valvatidae (9), Hydrobiidae (7), Lymnaeidae (3) and Bithyniidae (1) contribute to a lesser amount. The endemism is high (86%), although some species appeared already in the Paratethyan Sarmatian lakes. The larger part of the fauna is small-sized, ranging from 2–8 mm; a size class between 10–30 mm is frequently represented within the lymnaeids, planorbids and melanopsids. Nevertheless, the early Lake Pannon witnesses also a tendency to gigantism by species of the *Melanopsis fossilis*-complex, which may attain a

maximum size of 80 mm, and by *Tinnyea escheri vasarhelyii* (Hantken, 1887), which may exceed 100 mm in length.

*LP, Phase II: 10.0–8.0 Ma:* During the phase of the maximum extent of Lake Pannon, 254 species of 40 genera (species/genera ratio=6.4) existed (Stoliczka, 1862; Fuchs, 1873; Brusina 1884a, 1892, 1897, 1902a; Handmann, 1882; Halaváts, 1887, 1892, 1903, 1910; Gorjanović-Kramberger, 1901; Lörenthey, 1902; Moos, 1944; Papp, 1951, 1985a,b; Sauerzopf, 1953; Bartha, 1956, 1953, 1959; Lupu, 1963; Lueger, 1979, 1980; Jiriček, 1985; Fordinál, 1997, 1999; Harzhauser et al., 2002).

The increase in species richness compared to LP I is largely due to the radiation of Melanopsidae (60), Pyrgulidae (58), Planorbidae (39), Hydrobiidae (25) and Valvatidae (20). A slight increase in numbers is also evident for the Neritidae (23), Stenothyridae (14) and Lymnaeidae (10); only the Bithyniidae (1) remain on a low level. The species-level endemism (89%) is comparable to the early Lake Pannon fauna. Moreover, the size structures of the faunas are comparable.

*LP, Phase III: 8.00–5.8 Ma:* The late phase of Lake Pannon gave rise to a huge diversity of 284 gastropod species of 48 genera (species/genera ratio=5.9) (Rolle, 1861; Fuchs, 1870a,b; 1873; Hoernes, 1875; Herbich and Neumayr, 1875; Lörenthey, 1893a,b,c, 1895; Brusina 1896, 1902a; Halaváts, 1887, 1897, 1892, 1904, 1915, 1923; Gorjanović-Kramberger, 1901; Soós, 1934; Moos, 1944; Strausz, 1951; Papp, 1951, 1985b; Sauerzopf, 1953; Bartha, 1954; Bartha and Soós, 1955; Gillet and Marinescu, 1971; Marinescu, 1973; Schlickum, 1978, 1953, 1979; Korpás-Hódi, 1983; Stevanović and Papp, 1985; Stevanović, 1941, 1978, 1985, 1990a,b,c; Basch, 1990; Müller and Szónoky, 1990; Fordinál, 1994, 1996, 1998; Szilaj et al., 1999; Harzhauser and Binder, 2004).

The maximum diversity of Lake Pannon III is contributed by Planorbidae (53), Pyrgulidae (48), Melanopsidae (41), Lymnaeidae (40) and Valvatidae (30). Hydrobiidae (24), Viviparidae (19), Neritidae (11), Stenothyridae (11) and Bithyniidae (2) follow in decreasing numbers. Compared to LP I and LP II, an increase in species richness within the viviparids, planorbids and lymnaeids is evident, whilst the melanopsids and pyrgulids lose ground. The species-level endemism remains high (83%). The bulk of the fauna is still represented by small-sized gastropods (2–8 mm), whereas the giant melanopsids have vanished. Large-sized taxa of up to 100 mm diameter are now represented by the limpet-like lymnaeid *Valenciennius* (Rousseau, 1842).

Although Lake Pannon is often referred to as the centre of *Melanopsis* evolution (Bandel, 2000; Geary et al., 2002), its most conspicuous radiations are found within the Pyrgulidae, with several endemic genera such as *Goniochilus* (Sandberger, 1875), *Lisinskia* (Brusina, 1897), *Gyromelania* (Wenz, 1939), *Scalimelania* (Wenz, 1939) and *Beogradica* (Pavlovic, 1903). *Microbeliscus* (Sandberger, 1875), a questionable pyrgulid with heterostrophic protoconch, is another endemism. Among the Lymnaeidae, the evolution of deep-water, limpet-like morphologies (*Provalenciennisia* Gorjanović-Kramberger, 1923, *Velutinopsis* Brusina, 1884a and *Valenciennius*) is noteworthy. Another endemism is represented by the succinid *Papyrotheca* (Brusina, 1893), which documents the rare adaptation of a terrestrial gastropod to aquatic environments.

## 557 4.1.7. Lake Dacia (LD, ~5–3 Ma)

558 This initially brackish aquatic system gave rise to at least 119  
559 gastropod species of 21 genera (species/genera ratio=5.7)  
560 (Wenz, 1942; Hanganu, 1972; Hanganu and Papaianopol, 1982;  
561 Lubenescu and Zazuleac, 1985; Motas and Papaianopol, 1984;  
562 Papaianopol, 1995). The most prominent group is represented  
563 by the quickly radiating Viviparidae (50). Other important  
564 groups are the Melanopsidae (16), Hydrobiidae (15) and  
565 Bithyniidae (11). All other families are subordinate: Neritidae  
566 (9), Valvatidae (8), Planorbidae (6), Pyrgulidae (3), Lymnaeidae  
567 (1). Endemism is moderate on the species-level (60.5%) and  
568 absent on the generic level. Most species are small-sized  
569 (<10 mm); only the viviparids develop giant sized species of up  
570 to 55 mm in height. [Several elements with Lake Pannon  
571 affinities settled the Dacian Basin during the Late Miocene;  
572 herein, however, only the Pliocene assemblages are considered.]

## 573 4.1.8. Lake Transylvania (LT, ~4.5–3 Ma)

574 The fauna is mainly known from the paper of Jekelius (1932),  
575 who described 63 species of 17 genera from Lake Transylvania  
576 (species/genera ratio=3.7). The fauna is manifold and not  
577 dominated by a certain gastropod family. Stenothyridae (12),  
578 Pyrgulidae (10), Viviparidae (9) and Hydrobiidae (9) are most  
579 species rich, followed by Valvatidae (6), Planorbidae (6),  
580 Lymnaeidae (4), Melanopsidae (3), Bithyniidae (3) and Neritidae  
581 (1). Endemism is high (73%) within species but absent for  
582 genera. The size structure of the fauna ranges from 4–17 mm and  
583 is rather uniform. Larger taxa are represented solely by *Viviparus*  
584 (Montfort, 1810) (<40 mm).

## 585 4.1.9. Lake Slavonia (LS, ~4–3 Ma)

586 The fauna of that lake was studied mainly during the 19th  
587 century. In total, 183 gastropod species of 29 genera are  
588 described (species/genera ratio=6.3) (Brusina, 1874, 1884b,  
589 1878, 1896, 1902a; Fontannes, 1886; Herbich and Neumayr,  
590 1875; Neumayr, 1869, 1897, 1880; Neumayr and Paul, 1875).

591 The fauna is dominated by Melanopsidae (42), Viviparidae  
592 (35) and Hydrobiidae (28). Aside from the rare Stenothyridae  
593 (1) and Lymnaeidae (5), all other groups contribute in com-  
594 parable numbers: Planorbidae (18), Neritidae (15), Valvatidae  
595 (16), Bithyniidae (12). The fauna is generally small-sized  
596 (<10 mm) except for the partly large-sized viviparids, whose  
597 size may exceed 50 mm. The faunistic relation of Lake Slavonia  
598 to Lake Pannon and Lake Transylvania is responsible for a  
599 moderately high endemism of 63%.

## 600 4.1.10. Lake Kosovo (LK, ~3–2 Ma)

601 Lake Kosovo harboured a poorly diverse gastropod fauna of  
602 35 species of 9 genera (species/genera ratio=4; Atanacković,  
603 1959; Atanacković and Stefanović, 1990). Viviparidae domi-  
604 nate with 15 species, followed by the Planorbidae (12), of  
605 which 8 species belong to the endemic sinistral genus *Kosovia*.  
606 Other species are represented by Melanopsidae (3), Neritidae  
607 (2), Hydrobiidae (2) and Stenothyridae (1). The endemism is  
608 very high (92%). Most of the taxa range from 10–15 mm in  
609 size. Larger species of up to 25 mm are represented only by  
610 *Viviparus*.

## 4.1.11. Lake Šoštanj (LSO, 2.5 Ma)

611 This highly endemic Late Pliocene lake fauna (endemism 612  
613 87%) was included because of its “Miocene” fair. Only 8 spe- 614  
615 cies are reported by Rolle (1860, 1861) and Brezigar et al. 616  
617 (1985). Planorbidae and Hydrobiidae are represented by 3 and 2 618  
619 species, whilst Bithyniidae, Melanopsidae and Valvatidae are 620  
621 documented only by 1 species each. The fauna is small-sized 622  
623 (2–10 mm). Only *Melanopsis* and valvatids grow to 16 mm. 624  
625

## 4.1.12. Lake Petea (P, 0.1–0 Ma)

626 Only few papers deal with the Pleistocene to Holocene 627  
628 thermal-spring lake fauna of Lake Petea. Brusina (1902b), 629  
630 Kormos (1905) and Pauca (1937) described 23 species of 9 631  
632 genera (species/genera ratio=2.6). The composition is uniquely 633  
634 dominated by Melanopsidae, whose 12 species contribute more 635  
636 than 50% to the total fauna. Planorbidae (5) are the second 637  
638 important gastropod group in Lake Petea, whilst Neritidae (3), 639  
640 Lymnaeidae (2) and Valvatidae (1) are subordinate in species 641  
642 numbers. Aside from the fully endemic melanopsid fauna, 643  
644 which raises the endemism of the fauna to 60.8%, most taxa are 645  
646 frequently found in Pleistocene and Holocene freshwater 647  
648 systems of Europe. The fauna is very small (<8 mm) aside 649  
650 from the melanopsids (height up to 20 mm). 651  
652

## 653 5. Discussion

654 5.1. Gamma diversity: size does matter but heritage is fine as 655  
656 well 657

658 Species diversity in the studied lake systems ranges from low 659  
660 (<30; LSK, P, LSO) and moderate (30–50; RLS, SGL, LK) to 661  
662 high (51–100; PSL, LT) and very high (>100; DLS, LPI–III, 663  
664 LD). Among the classical extant long-lived lakes, only Lake 665  
666 Baikal (147 species) falls into the last grouping and even the 667  
668 high diversity class is represented only by few examples (Lake 669  
670 Tanganyika, 68; Lake Ohrid, 72) [see Brown (1994), Seddon 671  
672 (2000) and Sitnikova (1994, 2006) for data on extant lakes]. The 673  
674 high species number is correlated with high generic diversities 675  
676 (Fig. 5). This tight correlation ( $r^2=0.9$ ) is in contrast to the “gut- 677  
678 feeling” that the enormous diversities of Lake Pannon or of 679  
680 Lake Slavonia are maintained by few genera such as *Melanopsis* 681  
682 or *Viviparus*. The origin of the diversity is less easily explained. 683  
684 A simple correlation of diversity with lake size is evident on a 685  
686 very rough scale ( $r^2=0.6$ ). Thus, small systems such as Lake 687  
688 Skopje and Lake Petea yield low diversities compared to the 689  
690 huge Lake Pannon or Lake Slavonia. The relation, however, fails 691  
692 in intermediate systems. Presumably large systems such as the 693  
694 Rzehakia Lake System or the Paratethyan Sarmatian Lakes do 695  
696 not fit the pattern because they have fewer taxa than expected 697  
698 based on size. Moreover, the highest diversity is found in Lake 699  
700 Pannon III, which is smaller than Lake Pannon II. A problem of 701  
702 this approach may be the complex geometry and sometimes 703  
704 poorly known extent of the lakes, which may result in inadequate 705  
706 size estimates. 707

708 A second ad hoc explanation for differences in  $\gamma$ -diversities 709  
710 is the age of the communities. A clear hint for this age/diversity 711  
712 relation is the high species richness of Lake Pannon III, which 713  
714

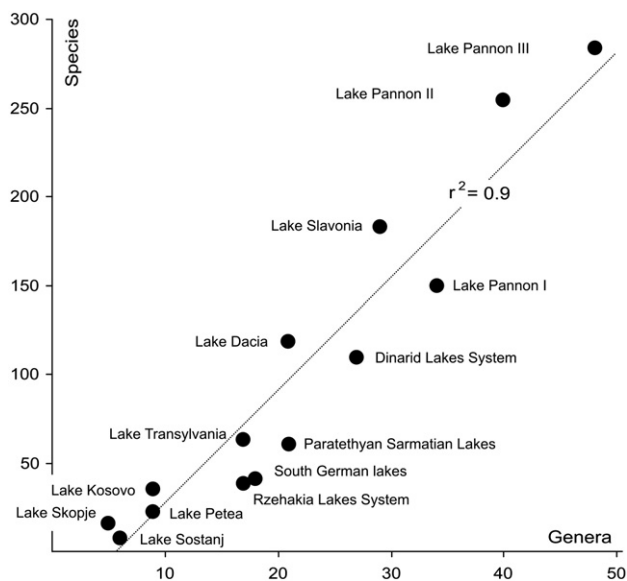


Fig. 5. A tight correlation between species-level  $\gamma$ -diversity and the number of genera exists for the analysed faunas. A similar correlation of diversity and lake size is evident for the endpoints but is poor for the middlefield.

developed in precursor-lakes. A similar mechanism was also documented for the extant gastropod fauna of Lake Tanganyika (Wilson et al., 2003).

### 5.2. Phylogenetic lineages and generic inter-lake relations

Numerous widespread non-endemic genera occur in most of the analysed lake faunas. Aside from the extinct thiarid *Tinnyea*, most of these genera are extant. Some extinct genera, however, display a striking fossil history indicating important faunal exchange between certain lake systems. Large stratigraphic gaps between the occurrences underline the highly incomplete record of the Neogene freshwater systems. The Dinarid Lake System was the presumed starting point for the evolution of the de-coiled planorbid *Orygoceras* (Brusina, 1882), the hydrobiid *Emmericia* (Brusina, 1870) and the melanopsid *Melanoptychia* (Neumayr, 1880). Thereafter, they are apparently absent from younger systems such as the South German Lakes and the Paratethyan Lakes but also from more or less coeval systems such as Lake Skopje and Lake Serbia. They, however, reappear 5 Ma later in the Late Miocene as constituents of the Lake Pannon fauna. The DLS element *Fossarulus* displays an even larger stratigraphic gap and reappears in the Pliocene in Lake Kosovo. Similarly, the sinistral planorbid *Kosovia* appears in the early Middle Miocene Lake Serbia and re-enters the scene c. 10 Ma later in Lake Kosovo. In respect to the very characteristic conchological features, convergent evolution is unlikely to be responsible for these chronologically disjunct occurrences. Other Dinarid Lake genera such as the hydrobiid *Prososthenia* and the pyrgulids *Marticia* and *Dianella* have a more continuous record and invade the coeval Lake Skopje. Afterwards, *Prososthenia* steps into the Paratethyan Sarmatian lakes, enters Lake Pannon and persists in Lake Transylvania, Lake Slavonia and Lake Dacia. *Emmericia*, the pyrgulid *Micromelania*, the bithyniid *Tylopoma* and the highly derived lymnaeid *Valenciennius*, too, manage to settle Pliocene descendants of Lake Pannon. Of these, only *Emmericia* persisted into the Holocene and is still found in Central Europe.

### 5.3. Convergent evolution

Aside from those partly enigmatic generic inter-lake relations, striking convergences help explain the numerous stratigraphic and biogeographic misinterpretations. Harzhauser and Mandic (2008) have pinpointed several examples within the dreissenid bivalves which developed unrelated morpho-pairs in the Dinarid Lake System and Lake Pannon. Even more astonishing is the convergent evolution of large-sized, limpet-like, deep-water-dwelling gastropods in these lakes. In the Dinarid Lake system, *Clivunella* and *Delminiella* represent this type. The origin of these taxa is unknown. The lymnaeid protoconch and earliest teleoconch of *Delminiella* point to an affiliation with the Lymnaeidae. *Clivunella* lacks these conchological features and its ancylid early shell may point to a relation to the Planorbidae. These derived gastropods settled the deep lake habitats but were unable to spread into any other Early and Middle Miocene lakes. During the Late Miocene,

experienced more than 4 Ma of endemic evolution during the climatically challenging Late Miocene. This interpretation, however, is again too naive to be applied to all systems. Most of the investigated lakes with moderate to high diversities existed between 0.5 and <2.0 Ma. Despite the comparable ranges, the observed diversities differ strongly. This discrepancy is solved when calculating the numbers of species occurring in more than one lake. Early and Middle Miocene lakes have high endemisms and share less than 10 species. Several of the involved genera such as *Orygoceras* (Brusina, 1882) or *Ctyroikia* (Schlickum, 1965) have their first appearances in these lakes and lack any known geological history. Starting with the Paratethyan Sarmatian Lakes, this pattern changes and each system is “vaccinated” by its ancestor (Fig. 2). About 20 species persist from the PSL into the faunas of the early Lake Pannon, contributing to an initially high diversity. Lake Pannon II inherited 97 species from its early stage and 88 species ultimately persist into the latest phase of Lake Pannon, which therefore displays the highest diversity observed in this study. The comparably short-lived Pliocene Lake Slavonia bears 28 species which are rooted in Lake Pannon. Again, the high diversity is thus a matter of heritage rather than of autochthonous endemic evolution. The coeval Lake Dacia, after the take-over by freshwater settings, becomes invaded by a mixture of Lake Pannon species (14) and newly evolved species from Lake Slavonia (40). Similarly, Lake Transylvania seems to have profited from the evolutionary laboratory of Lake Slavonia, with which it shares 14 species. Thus, the first phases of radiations and the fastest evolutionary pulses can be postulated to have occurred in the Early Miocene lakes of the Balkanides (DLS, LSK) and in the Middle Miocene Sarmatian Paratethyan Lakes. The diversities of the Late Miocene and Pliocene lakes systems, however, were supported by gastropod lineages that

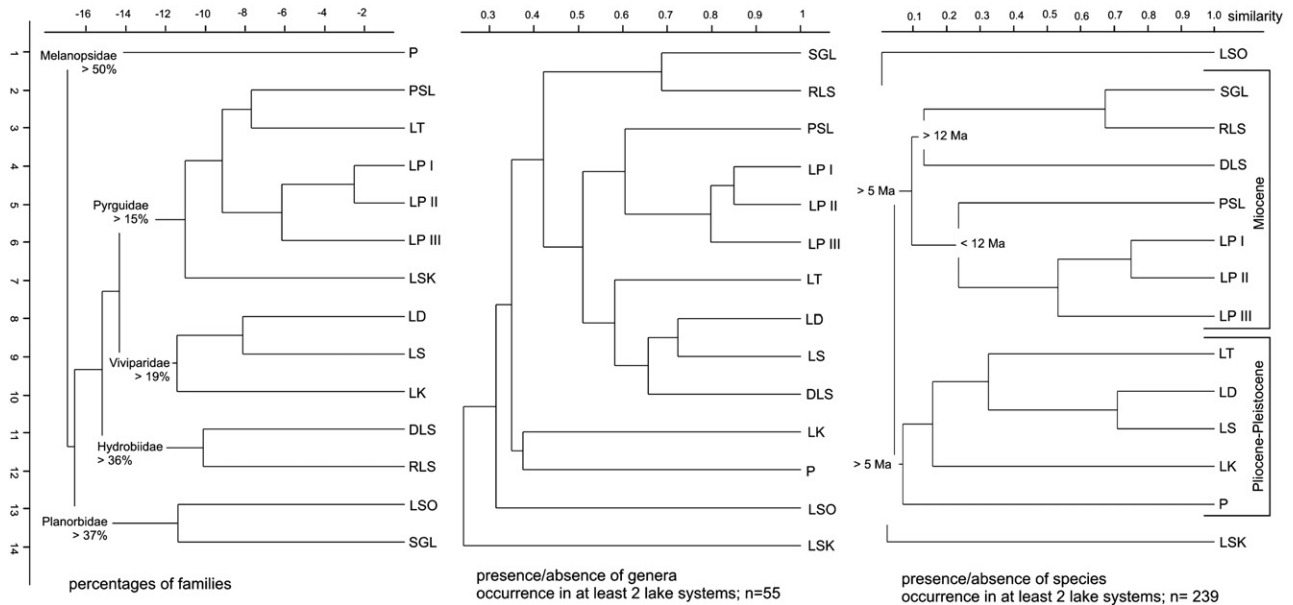


Fig. 6. Cluster analysis of the total gastropod faunas of each lake system for family-, genus- and species-level (see section 2 for methods). A biostratigraphic signal is evident on the species-level but becomes weak at higher hierarchies. The family-level analysis reveals rather a mixture of ecology and stratigraphy.

750 Lake Pannon saw a near-identical development, which led to the  
 751 large-sized *Valenciennius*. In this case a good fossil record  
 752 documents the evolution from inflated lymnaeids via various  
 753 intermediate stages (e.g. *Provalenciennesia*, *Vetulinopsis*) to the  
 754 depressed deep-water limpet *Valenciennius*. This gastropod  
 755 managed to spread into coeval deposits of the Dacian Basin and  
 756 survived until Pliocene times in Lake Slavonia.

#### 757 5.4. Biostratigraphy versus ecology

758 An analysis of the individual lake faunas on various  
 759 taxonomic levels (family, genus, species; Fig. 6) revealed  
 760 quite deviating patterns. The species-level cluster analysis  
 761 clearly traces the biostratigraphic signal. Aside from the outside  
 762 branches (Lake Skopje, Lake Šoštanj), two main clusters  
 763 separate the Miocene and the Pliocene–Pleistocene lake faunas.  
 764 Moreover, the Miocene cluster falls apart into an Early to  
 765 Middle Miocene cluster and a late Middle to Late Miocene  
 766 cluster with a distinct Lake Pannon group. This biostratigraphic  
 767 signal begins to become lost already in the genera-based  
 768 analysis. Again, the Miocene cluster is evident and especially  
 769 the (PSL(LP III(LP II LPI)))–relation is strong due to the direct  
 770 phylogenetic and geodynamic relationship of these systems.  
 771 The Miocene DLS fauna, however, clusters within the Pliocene  
 772 cluster due to the contribution of freshwater genera such as  
 773 *Emmericia* and *Lithoglyphus*. On the family level, this bio-  
 774 stratigraphic grouping becomes indistinct. Instead, five distinct  
 775 clusters are evident. Lake Petea, with the unusually high amount  
 776 of melanopsids, represents the outgroup. The remaining cluster  
 777 separates into planorbid-dominated lakes such as the South  
 778 German lakes and Lake Kosovo (>37% planorbids) and a  
 779 second cluster which divided into 3 branches: hydrobiid-  
 780 dominated lakes (DLS, RLS), pyrgulid-dominated lakes (PSL,

LT, LP, LSK) and viviparid-dominated ones (LD, LS, LK). This  
 781 stratigraphy-unrelated pattern reflects more ecological para-  
 782 meters. A simple salinity relation, however, is unlikely be-  
 783 cause the Pliocene freshwater systems Lake Transylvania and  
 784 Lake Kosovo cluster together with the alkaline and saline  
 785 systems such as Lake Pannon and the Paratethyan Sarmatian  
 786 Lakes. Similarly, the hydrobiid-dominated branch unites the  
 787 brackish Rzehakia Lake System with the freshwater Dinarid  
 788 Lake System. Only the viviparid-dominated and the planor-  
 789 bid-dominated systems seem to correlate simply with fresh-  
 790 water settings. This is also indicated by the unionid-bivalve  
 791 fauna of the viviparid lakes: Lake Slavonia and Lake Dacia.  
 792 Despite the vanishing biostratigraphic signal a generalization  
 793 is that the Early Miocene lake systems tend to be hydrobiid-  
 794 dominated, the middle-Miocene and Late Miocene are  
 795 pyrgulid-dominated and the Pliocene systems are usually  
 796 viviparid-dominated.  
 797

#### 798 5.5. Endemism of fossil and extant lake systems

799 Most extant faunas of ancient lakes display endemism rates  
 800 between 40 and 80% at diversities between 24 and 147 species  
 801 (Fig. 7). The observed endemisms of the herein-considered  
 802 fossil lake faunas is generally comparable but tend to be even  
 803 higher (60–98%). Neither the extant nor the fossil faunas show  
 804 any correlation between species richness and endemism. Low-  
 805 diversity faunas such as in Lake Skopje and Lake Kosovo  
 806 display equally high endemism rates as the extremely diverse  
 807 Lake Pannon and the Dinarid Lake System. The generally  
 808 higher endemism in the fossil systems is probably related to an  
 809 incomplete record of coeval lake faunas (e.g. Lake Skopje, Lake  
 810 Kosovo). An exception seems to be Lake Pannon, whose  
 811 extraordinary endemism might rather be related to adaptations

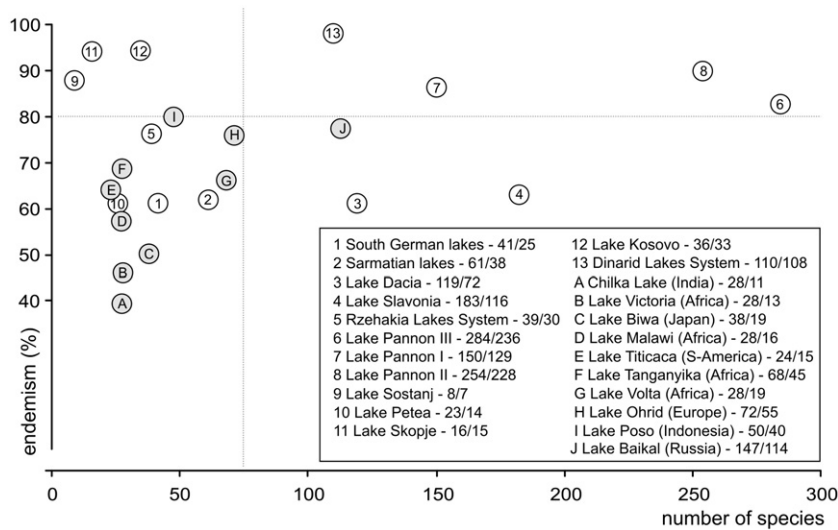


Fig. 7. Endemism versus  $\gamma$ -diversity for fossil and extant ancient lakes [data for modern faunas from Brown (1994), Seddon (2000) and Sitnikova (1994, 2006)]. The endemism and species-richness of Lake Pannon, Lake Slavonia and the Dinarid Lake System are outstanding. The generally higher endemism in the fossil systems at comparable species numbers might hint at a still incomplete record of Neogene freshwater systems.

812 of the fauna to an aberrant water chemistry coupled with a  
813 geological longevity.

## 814 6. Conclusions

815 Many papers dealing with extant mollusc faunas of Eurasian  
816 aquatic systems refer to Lake Pannon when explaining extant  
817 biogeographic distributions and phylogenetic relations (e.g.  
818 Grigorovich et al., 2003; Bunje and Lindberg, 2007). Our  
819 dataset, however, points to a much more complex history of the  
820 faunas reaching back at least to the Early Miocene. High  
821 endemisms and low inter-lake relations of the Early and early  
822 Middle Miocene lake systems suggest that these experienced  
823 the first autochthonous evolutionary pulses. Many genera  
824 display their FADs in these systems (e.g. *Marticia*, *Kosovia*,  
825 *Orygoceras*, *Pyrgula*, *Dianella*, *Emmericia*). This pattern  
826 changed at the Middle/Late Miocene boundary when Lake  
827 Pannon inherited numerous species which evolved prior in the  
828 Sarmatian Paratethyan lakes. On the generic level, parts of the  
829 Lake Pannon fauna can be traced back even to the Early  
830 Miocene faunas of the Dinarid Lake System. The combined  
831 effect of heritage and new radiations in a geochemically unique  
832 aquatic system allowed Lake Pannon to accumulate an enormous  
833 diversity of 497 gastropod species. Lake Pannon itself  
834 acted as a stepping stone for species and genera which settled  
835 the descendant freshwater systems such as Lake Slavonia, Lake  
836 Dacia and Lake Transylvania. Generic endemism thus decreased  
837 during the Pliocene.

838 Generally, the lake faunas may be divided into pyrgulid-,  
839 hydrobiid-, viviparid- and planorbid-dominated lakes. The  
840 reason for this predominance of certain taxa is not fully understood.  
841 A simple relation to water chemistry is unlikely in respect  
842 to the similarities between the faunas of the slightly brackish  
843 and alkaline Lake Pannon (Harzhauser et al., 2007) and those of  
844 the freshwater fauna of the Dinarid Lake System.

A weak stratigraphic signal indicates that Early Miocene  
freshwater systems are hydrobiid dominated; Middle and Late  
Miocene systems tend to be pyrgulid dominated, whilst  
Pliocene ones are often viviparid dominated. A climatic control  
is not the main force behind the pattern because the temperate  
RLS faunas are hydrobiid dominated as are the DLS faunas  
which developed during the beginning Middle Miocene  
climatic optimum. At least the switch from pyrgulid-  
viviparid-dominated lakes in the Pliocene seems to be mainly  
explained by the pure freshwater settings that replaced the  
slightly brackish and alkaline Lake Pannon environments.

The Neogene lake systems represent a unique laboratory of  
evolution. Examples of parallel evolution and the phenomenon  
of iterative morphologies make the analysis of ancient lake  
faunas a tantalizing endeavour. Repetitive morphologies of  
related lineages have been documented to occur in Lake  
Pannon melanopsids (Geary et al., 2002). Even more interesting  
are such iterative developments of unrelated taxa as shown  
for DLS and LP dreissenids (Harzhauser and Mandic, 2008).  
The most striking examples of such “morpho-pairs” is the DLS  
taxa *Delminiella* and *Clivunella* and the *Valenciennius*-lineage  
in Lake Pannon. The planorbid *Clivunella* and the lymnaeid  
*Delminiella* are two endemic limpet-like shells which, deriving  
from nearshore ancestors, adapted synchronously and independently  
to deep-water settings of the Dinarid Lake System.  
About 5 Ma later, the lymnaeids of Lake Pannon started to  
explore the deep-water habitats of that lake, resulting in the  
limpet-like *Valenciennius*. Such morpho-pairs have been the  
reason for frequent stratigraphic and biogeographic misinterpretations  
in the literature. Despite the huge dataset, comprising  
about 1184 gastropod taxa from 119 localities, the Neogene  
freshwater record is still poor. This fragmentary fossil record  
is underlined by disjunct stratigraphic occurrences of highly  
derived genera such as *Orygoceras* or *Kosovia* with gaps  
of 5–10 Ma.

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